

## Certificate for Module (Enhanced Competency Framework on Anti-Money Laundering and Counter-Financing of Terrorism Professional Level)

證書(單元: 銀行專業資歷架構-打擊洗錢及恐怖分子資金籌集專業級課程)

Term	Timetable	Course Code	Course Fee
Apr 2022	23, 30 Apr & 7 May 2022 (Sat) (3 sessions, Sat) 10:00 AM - 1:00 PM; 2:00 PM - 5:00 PM	1980-FN045B	\$4,500

### PERSONAL PARTICULARS (Enter the following information in BLOCK LETTERS)

Name in English:

Surname \_\_\_\_\_ Given Name \_\_\_\_\_

Name in Chinese:

Title: Mr  Ms  HKID Card No. \_\_\_\_\_ Date of Birth \_\_\_\_\_

Nationality \_\_\_\_\_ Previous Student No. (If any) \_\_\_\_\_

Correspondence Address \_\_\_\_\_

Mobile Phone \_\_\_\_\_ E-mail Address \_\_\_\_\_

#### Entry Requirement:

Applicants shall hold an Advanced Certificate for ECF on Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) awarded by The Hong Kong Institute of Bankers.

All applications must be accompanied by:

- Complete this application form;
- Copy of HKID card; (please also provide your employment VISA if applicable)
- Copy of Advanced Certificate for ECF on Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT);
- Students will be informed the eligibility to enroll in this course by email. Course fee should be paid after the notification of application result. You can prepare the course fee HK\$4,500 by cheque payable to "HKU SPACE".

#### Important Remarks:

**Purchase HKIB Study Guide HK\$500. Students are required to complete pre-course reading materials listed in the HKIB Study Guide before training sessions. The Guide is available for collection and payment at the HKIB office. For details, please contact HKIB at (852) 2153 7800 or visit <https://www.hkib.org/>.**

Name \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

← Please also complete this part  
請填寫此部分

### **HKU SPACE Alumni**

All new enrolled students in the School will automatically become an alumnus of HKUSPACE. If you DO NOT WISH to be an alumnus, please check this box.

### **Equal Opportunities for Learning at HKU SPACE**

It is the School policy to offer equal opportunities to all applicants with or without disabilities. To enable us to meet the needs of all students, you are invited to indicate on this form whether you require any special assistance. If you do need such assistance, the School may approach you subsequently to obtain further details so as to facilitate our planning and assess how best we can help you. Special assistance required Yes No

### **Statement on Collection of Personal Data**

1. It is necessary for applicants to supply their personal data and to provide all the information requested in the application documents, as otherwise the School may be unable to process and consider their applications.
2. The personal data provided in this form will be used for processing your application for admission, and for registration, academic and administrative communication, alumni management and contacts, research, statistical and marketing (including direct marketing) purposes. The data will be solely handled by HKU SPACE staff but may be transferred to an authorised third party providing services to the School in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
3. When the processing and consideration of all the applications for a particular programme have been completed:  
(a) the application papers of unsuccessful candidates will be destroyed (if you have indicated to receive our promotional materials in Paragraph 6 then your contact details and related papers would be retained for such purposes); and (b) the application papers of successful candidates will serve as part of the applicant's official student records and will be handled by HKU SPACE staff or by staff of an authorised third party providing services to the School in relation to the stated purposes. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential.
4. Upon enrolment, applicants will be required to submit a recent colour photo for student identification purpose.
5. The School will send urgent messages to students via Short Message Services (SMS) and supplement by other means such as email (if the need arises). It is therefore important that the mobile phone number and email address that the applicant provides are accurate. Any change should be reported to the School immediately. Those who have genuine difficulty in receiving urgent messages via SMS should contact the programme teams for separate arrangements.

### **Use of Personal Data related to Direct Marketing**

6. From time to time, the School will send the latest updates and promotional materials to applicants/students and alumni on the availability of the programmes and courses, seminars and events, discounts and offers, clinics and other services as well as the alumni events, privileges and offers, networking opportunities and fund-raising initiatives through various communication channels such as direct-mail, email and mobile phone, by using your personal data (including, but without limitation to, your name, contact details and other information collected in your profile such as the programme(s) you enrolled, your graduation year etc). You always have the right to make subsequent changes on your choice of receiving further marketing materials by sending a written unsubscribe request (by email or by post) to the School at any time.

**If you DO NOT WISH to receive our latest updates and promotional materials through the communication channels as stated above, including discounts and offers from time to time, please check this box.**

7. Under the provisions of the Personal Data (Privacy) Ordinance, applicants have the right to request the School to ascertain whether it holds your personal data, to be given a copy, and to apply for correction of the data, if deemed incorrect. Applications for access to personal data should be made by using a special request form and on payment of a fee. Such applications for access of information should be addressed to the Data Protection Officer, HKU SPACE. For general requests of personal data amendment, please fill out the "Application Form for Personal Data Amendment" and submit it to HKU SPACE.
8. For details on the School's policy on personal data (privacy), please refer to the School Prospectus or Website <http://hkuspace.hku.hk/policy-statement/privacy-policy>.
9. HKU SPACE, being part of the University of Hong Kong, the Personal Information Collection Statement of the University also applies and can be accessed from <http://www.aal.hku.hk/admissions/documents/pics.pdf>. Being in partnership with other overseas Universities, it also endeavours to comply to their laws and regulations as far as practicable. However, the School is obliged to maintain part of the students' records in perpetuity for reasons stated in this PIC.

### General Notes to Applicants

1. Enrolment can be done in person at any of the School's Enrolment Centres by completing this application form and submitting it with the appropriate fee and relevant documents. You can also mail to the "HKU SPACE, 3/F, Admiralty Centre, 18 Harcourt Road, Hong Kong", specifying "Programme/Course Application".
2. Fees paid by crossed cheque or bank draft should be made payable to "HKU SPACE".
3. For general and short courses, applicants may be required to pay the course fee in cash or by EPS, Visa or MasterCard if the course will start shortly.
4. If admission is on a first come, first served basis and if you do not hear from us before the course starts, you may assume that your application has been accepted, and should attend the course as scheduled.
5. If admission is by selection, the official receipt is not a guarantee that your application has been accepted. We will inform you of the result as soon as possible after the closing date for application. Unsuccessful applicants will be given a refund of programme/course fee if already paid.
6. If you are applying to / have enrolled for more than one programme / course with HKU SPACE, please check to ensure that there are no scheduling conflicts before submitting your application form.
7. If you do not know the teaching venue within 3 days of the starting date of the course, please check with the relevant programme team.
8. Course fees paid are not refundable except as statutorily provided or under very exceptional circumstances (e.g. course cancellation due to insufficient enrolment).
9. Please refer to the HKU SPACE Prospectus and the official website for full details of enrolment procedures for HKU SPACE Programmes.

#### Vaccine Mandate

Starting from January 17th 2022, the University will introduce a vaccine mandate which HKU SPACE will follow (<https://covid19.hku.hk/announcements/all/2021/11/11105/>). Please refer to details on implementation for access to HKU SPACE Learning Centres to be announced on our Website/Learner Portal as soon as possible.

#### Notes on Class Arrangements under the COVID-19 Outbreak

Given the COVID-19 situation might still be fluid, please note that the School may substitute face-to-face classes with online teaching if necessary. Our respective Programme Teams will contact students concerned with details of such arrangements before the class starts or during the classes as necessary.

### Notes to Non-local Applicants

To study in Hong Kong, all non-local applicants are required to obtain a student visa issued by the Immigration Department of the HKSAR Government, except for those admitted to Hong Kong as dependants, who do not need prior approval before taking up full-time and part-time studies. Non-local applicants issued with a valid employment visa also do not need prior approval to pursue part-time studies. It is the responsibility of individual applicants to make appropriate visa arrangements. Admission to a HKU SPACE academic programme/course does not guarantee the issue of a student visa. Applicants may wish to note that part-time courses are generally not considered by the Immigration Department for visa purposes except for self-financed, part-time locally accredited taught postgraduate programmes awarded within the HKU System through HKU SPACE.

### Declaration

1. I declare that all information given in this application form and the attached documents are, to the best of my knowledge, accurate and complete.
2. I authorise the School to obtain, and the relevant authorities to release, any information about my qualifications and/or employment as required for my application.
3. I consent that if registered, I will conform to the Statutes and Regulations of the University and the rules of the School.
4. I have noted, understood and agree to the contents of the above notes, Statement on Collection of Personal Data and HKU SPACE policy on personal data (privacy).

Signature \_\_\_\_\_

Date \_\_\_\_\_