

## Executive Certificate in Financial Law and Ethics

Inake	Application Code	Application Fee	Receipt Number
<input type="checkbox"/> July 2022	2035 – EP178A	HK\$150	
<input type="checkbox"/> July 2023	2135 – EP178A		

### PERSONAL PARTICULARS (Enter the following information in BLOCK LETTERS)

Name in English (same on HKID) :

Name in Chinese:

Surname \_\_\_\_\_ Given Name \_\_\_\_\_

Title: Mr  Ms  HKID Card No. \_\_\_\_\_ Date of Birth \_\_\_\_\_

Nationality \_\_\_\_\_ Previous Student No. (If any) \_\_\_\_\_

Correspondence Address \_\_\_\_\_

Mobile Phone \_\_\_\_\_ Office Telephone \_\_\_\_\_ Fax Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

Employer's Name \_\_\_\_\_ Position \_\_\_\_\_

Submit the following documents in person to HKU SPACE enrolment counters.

1. Photostat copies of full educational certificates.
  2. Fill in this application form.
  3. Application fee HK\$150 by cheque payable to "HKU SPACE".
- After screening the documents, programme team will inform student by email for paying the course fee. Credit card (VISA / Master) payment are also acceptable at enrolment counter.

Name \_\_\_\_\_

Full Postal Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

← Please also complete this part  
請填寫此部分

**ACADEMIC QUALIFICATIONS** (in reverse chronological order)

Please state qualifications relevant to the programme/course entry requirements you are applying for and attach the relevant proof of qualifications.

Institution	Award Received	Date of Award (From / To)	Part-time/ Full-time	Main Subject

**EMPLOYMENT HISTORY** (in reverse chronological order)

Please state qualifications relevant to the programme/course entry requirements you are applying for and attach the relevant proof of qualifications.

Name of Employer	Job Title	Dates	
		from	to

**University of Hong Kong School of Professional and Continuing Education 香港大學專業進修學院**

This completed form and a crossed cheque for the relevant course fee payable to “**HKU SPACE**” should be submitted to any of the HKU SPACE enrolment counters (Please see ‘Application Procedures’ in brochure before submitting your application).

I learned about this programme from :

Newspapers \_\_\_\_\_  
(Please specify name of newspaper)

Employers, relatives and friends

HKU SPACE Prospectus

HKU SPACE Website

Facebook

Others \_\_\_\_\_  
( Please specify)

### HKU SPACE Alumni

All new enrolled students in the School will automatically become an alumnus of HKUSPACE. If you DO NOT WISH to be an alumnus, please check this box.

### Equal Opportunities for Learning at HKU SPACE

It is the School policy to offer equal opportunities to all applicants with or without disabilities. To enable us to meet the needs of all students, you are invited to indicate on this form whether you require any special assistance. If you do need such assistance, the School may approach you subsequently to obtain further details so as to facilitate our planning and assess how best we can help you. Special assistance required Yes No

### Statement on Collection of Personal Data

1. It is necessary for applicants to supply their personal data and to provide all the information requested in the application documents, as otherwise the School may be unable to process and consider their applications.
2. The personal data provided in this form will be used for processing your application for admission, and for registration, academic and administrative communication, alumni management and contacts, research, statistical and marketing (including direct marketing) purposes. The data will be solely handled by HKU SPACE staff but may be transferred to an authorised third party providing services to the School in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
3. When the processing and consideration of all the applications for a particular programme have been completed: (a) the application papers of unsuccessful candidates will be destroyed (if you have indicated to receive our promotional materials in Paragraph 6 then your contact details and related papers would be retained for such purposes); and (b) the application papers of successful candidates will serve as part of the applicant's official student records and will be handled by HKU SPACE staff or by staff of an authorised third party providing services to the School in relation to the stated purposes. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential.
4. Upon enrolment, applicants will be required to submit a recent colour photo for student identification purpose.
5. The School will send urgent messages to students via Short Message Services (SMS) and supplement by other means such as email (if the need arises). It is therefore important that the mobile phone number and email address that the applicant provides are accurate. Any change should be reported to the School immediately. Those who have genuine difficulty in receiving urgent messages via SMS should contact the programme teams for separate arrangements.

### Use of Personal Data related to Direct Marketing

6. From time to time, the School will send the latest updates and promotional materials to applicants/students and alumni on the availability of the programmes and courses, seminars and events, discounts and offers, clinics and other services as well as the alumni events, privileges and offers, networking opportunities and fund-raising initiatives through various communication channels such as direct-mail, email and mobile phone, by using your personal data (including, but without limitation to, your name, contact details and other information collected in your profile such as the programme(s) you enrolled, your graduation year etc). You always have the right to make subsequent changes on your choice of receiving further marketing materials by sending a written unsubscribe request (by email or by post) to the School at any time.

**If you DO NOT WISH to receive our latest updates and promotional materials through the communication channels as stated above, including discounts and offers from time to time, please check this box.**

7. Under the provisions of the Personal Data (Privacy) Ordinance, applicants have the right to request the School to ascertain whether it holds your personal data, to be given a copy, and to apply for correction of the data, if deemed incorrect. Applications for access to personal data should be made by using a special request form and on payment of a fee. Such applications for access of information should be addressed to the Data Protection Officer, HKU SPACE. For general requests of personal data amendment, please fill out the "Application Form for Personal Data Amendment" and submit it to HKU SPACE.
8. For details on the School's policy on personal data (privacy), please refer to the School Prospectus or Website <http://hkuspace.hku.hk/policy-statement/privacy-policy>.

### Notes to Non-local Applicants

To study in Hong Kong, all non-local applicants are required to obtain a student visa issued by the Immigration Department of the HKSAR Government, except for those admitted to Hong Kong as dependants, who do not need prior approval before taking up full-time and part-time studies. Non-local applicants issued with a valid employment visa also do not need prior approval to pursue part-time studies. It is the responsibility of individual applicants to make appropriate visa arrangements. Admission to a HKU SPACE academic programme/course does not guarantee the issue of a student visa. Applicants may wish to note that part-time courses are generally not considered by the Immigration Department for visa purposes except for self-financed, part-time locally accredited taught postgraduate programmes awarded within the HKU System through HKU SPACE.

### Declaration

1. I declare that all information given in this application form and the attached documents are, to the best of my knowledge, accurate and complete.
2. I authorise the School to obtain, and the relevant authorities to release, any information about my qualifications and/or employment as required for my application.
3. I consent that if registered, I will conform to the Statutes and Regulations of the University and the rules of the School.
4. I have noted, understood and agree to the contents of the above notes, Statement on Collection of Personal Data and HKU SPACE policy on personal data (privacy).

Signature \_\_\_\_\_

Date \_\_\_\_\_