

### Postgraduate Certificate in Management and Insurance

Programme Code: AC123A

Application Code: 2180-AC123A

2867 8474 / 2867 8314

pgdipmi@hkuspace.hku.hk



The Postgraduate Certificate in Management and Insurance programme is designed to provide students with a broad understanding of risk and insurance. Upon completion of the programme, students are equipped with knowledge in the insurance industry and to develop professional competencies needed to be a leader in the field. The curriculum is designed based on the Specification of Competency Standards (SCSs) of the Insurance Industry in Hong Kong. The programme adopts a practical approach, equipping students with the appropriate level of skills to address the managerial and risk management aspects in the insurance industry under an increasingly stringent regulatory environment.

- R** Applicants shall:
- hold a bachelor's degree awarded by a recognized institution or
  - a recognized professional qualification plus five years of full-time work experience at senior managerial level in related industries
- AND
- provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
    - an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
    - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
    - HKALE Use of English at Grade E or above; or
    - HKDSE Examination English Language at Level 3 or above; or
    - equivalent qualifications.

Applicants with other qualifications and relevant work experience will be considered on individual merit.

**\$** HK\$4,000  
Application Fee: HK\$150

**D** 9 months to 12 months

English

### Advanced Diploma in Financial Planning

Programme Code: FN005A

Application Code: 2085-FN005A

2867 8468

cfp@hkuspace.hku.hk



This programme prepares students for the CFP® Certification Examination (Foundation Level) and CFP® Certification Examination (Advanced Level Paper 1 and Advanced Level Paper 2) organized by the Institute of Financial Planners of Hong Kong. In addition, the programme also enables students to enter Year 3 of Edinburgh Napier University's Bachelor of Arts (with Honours) in Financial Services degree programme. Besides, degree holders can further their studies in finance postgraduate programmes (e.g., Postgraduate Diploma in Investment Management, Postgraduate Diploma in Investment Management and Financial Intelligence, Postgraduate Diploma in Applied Financial Engineering, Postgraduate Diploma in Finance and Data Analytics, and Postgraduate Diploma in FinTech and Legal Regulations).

**\$** Business modules HK\$3,800 - HK\$5,800  
AFP module: HK\$15,800  
CFP level 1 & 2 modules: HK\$5,350 each module  
Application Fee: HK\$150

**D** 1 year to 2 years

**Q** Level 4 (Reg. No.: 14/003649/L4) Validity Period: 01 Jan 2015 - on-going

More details



### Executive Certificate in Marine Insurance

Programme Code: EP142A

Application Code: 2135-EP142A

2867 8476

ecmi@hkuspace.hku.hk



The programme will primarily appeal to financial services executives who wish to equip themselves with latest knowledge in marine insurance for their professional advancement. The secondary target market includes business executives or new graduates who aim to take up opportunities in insurance sector with a focus of marine insurance.

- R** (a) hold a bachelor's degree awarded by a recognized university or equivalent; or  
(b) hold an Associate Degree/ a Higher Diploma or equivalent, and have at least 2 years of work experience; or  
(c) hold relevant professional qualifications.  
Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

**\$** HK\$9,000  
Application Fee: HK\$150

**D** 3 months

English

### Executive Workshop The Art of Winning Requests for Proposals (RFP) in Financial Services

Programme Code: FINA9364

2867 8322

susanna.wss.lee@hkuspace.hku.hk

**S**



Competition of service providers in financial services has never been more brutal. Executives of financial service providers feel increasingly commoditised as clients view themselves and their competitors as much the same. Their win rate in bidding request for proposals (RFP) may be on the decrease. One of the best ways to win RFP is to make sure they understand how and why their clients determine one competitor over another and how outstanding providers align and win the RFP without competing on fees only. How to accelerate the message of service providers with difference, preference and proof is important in winning RFP in financial services.

**\$** HK\$4,500

**D** 1 month

### Certificate for Module (CFA Level I Examination Preparatory Programme)

Programme Code: FN089A

Application Code: 2090-FN089A

2867 8468

cfp@hkuspace.hku.hk



The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level I examination. It will provide students with knowledge of quantitative methods, economic and financial analysis, and portfolio management. It also covers the ethical and professional standards requirement for an asset manager.

- R** Applicants should hold a degree awarded by a recognised institution.  
Applicants should check with the CFA Institute that they are eligible to attempt the examination.

**\$** HK\$12,800

**D** 2 months to 3 months

English

**Q** Level 6 (Reg. No.: 22/001002/L6) Validity Period: 01 Dec 2022 - on-going

### Certificate for Module (CFA Level II Examination Preparatory Programme)

Programme Code: FN090A

Application Code: 2085-FN090A

2867 8468

cfp@hkuspace.hku.hk



The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level II examination. It will provide students with the knowledge in economic and corporate financial analysis, the valuation techniques of investment assets and issues of portfolio management.

**\$** HK\$14,800

**D** 2 months to 3 months

**Q** Level 6 (Reg. No.: 22/001003/L6) Validity Period: 01 Dec 2022 - on-going

**NCR1**

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

**NCR2**

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

**NCR3**

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

# Financial Services and Insurance

## 金融服務和保險

### Certificate for Module (CFA Level III Examination Preparatory Programme)

Programme Code: FN091A

Application Code: 2090-FN091A

2867 8468

cfa@hkuspace.hku.hk



The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level III examination. It will provide students with the advanced knowledge to evaluate various asset classes in investment portfolios and perform asset allocation in synthesized cases. It also enables students to perform wealth planning and portfolio management holistically.

HK\$17,400

2 months to 3 months

Level 6 (Reg. No.: 22/001004/L6) Validity Period: 01 Dec 2022 - on-going

### Certificate for Module (Insurance Contract and Compliance)

Programme Code: AC107A

Application Code: 2185-AC107A

2867 8474 / 2867 8314

pgdipmi@hkuspace.hku.hk



The programme aims to equip students with professional knowledge and skills to manage insurance contracts and assess possible risks and threats under an increasingly stringent regulatory environment in the insurance industry.

Applicants shall:

- hold a bachelor's degree awarded by a recognized institution or
- a recognized professional qualification plus five years of full-time work experience at senior managerial level in related industries

AND

provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications and relevant work experience will be considered on individual merit.

HK\$8,000 per programme

Application Fee: HK\$150

33 hours

English

Level 6 (Reg. No.: 21/001079/L6) Validity Period: 01 Oct 2021 - on-going

### Chartered Financial Analyst Refresher Course - Level 1

Programme Code: FINA9277

Application Code: 2080-2533NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 1 exam questions.

HK\$3,000

10 hours

### Chartered Financial Analyst Refresher Course - Level 2

Programme Code: FINA9278

Application Code: 2085-1408NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 2 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

HK\$3,000

10 hours

### Chartered Financial Analyst Refresher Course - Level 3

Programme Code: FINA9324

Application Code: 2140-1045NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 3 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

HK\$2,600

10 hours

### Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Award in General Insurance (Hong Kong)

Programme Code: FINA9343

Application Code: 1875-1807NW

2867 8475

cii@hkuspace.hku.hk



This exam preparatory programme does not include CII examination. For candidates who are interested to enrol to CII examination of Award in General Insurance (Hong Kong), please contact the Chartered Insurance Institute at +852 2520 0098 or hkenquiries@cii.co.uk

The examination enrolment fee is £92 for CII members and £100 (a discounted offer) for non-CII members. The fee includes all admission fee and e-studytext. For non-CII members, candidates will need to complete membership declaration form and send to hkenquiries@cii.co.uk

HK\$1,200

7 weeks to 10 weeks

### Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Insurance Claims Handling (non-UK)

Programme Code: FINA9345

2867 8475

cii@hkuspace.hku.hk



Unit WCE provides you with knowledge and understanding of the basic principles of the claims handling process which can be applied in your region.

This unit covers the claims handling process including notification, assessment, settlement and associated financial factors. It looks at the knowledge and skills you will need to handle claims in a professional, consistent and accurate manner. Claims handling is of such importance, it also considers claims handling within the wider context of the systems, process and functions that surround it.

HK\$1500 (exclude CII exam fee)

5 weeks to 7 weeks

See legend on page 031 圖像說明於第031頁

Minimum Entry Requirements 基本入學要求 (P.017)

Fee 學費

Duration 修業期

Medium of Instruction 教學語言

Qualifications Framework 資歷架構

Exemption 豁免

Short Course 短期課程

For more and latest programme information, please visit our website  
有關最新課程資訊及詳情，請瀏覽學院網站 [hkuspace.hku.hk](http://hkuspace.hku.hk)

### Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Insurance Underwriting (non-UK)

Programme Code: FINA9344

S

Application Code: 1875-1809NW

☎ 2867 8475

✉ [cii@hkuspace.hku.hk](mailto:cii@hkuspace.hku.hk)

This exam preparatory programme does not include CII examination. For candidates who are interested to enrol to CII examination of Insurance Underwriting (non-UK), please contact the Chartered Insurance Institute at +852 2520 0098 or [hkenquiries@cii.co.uk](mailto:hkenquiries@cii.co.uk).

The examination enrolment fee is £92 for CII members and £100 (a discounted offer) for non-CII members. The fee includes all admission fee and e-studytex. For non-CII members, candidates will need to complete membership declaration form and send to [hkenquiries@cii.co.uk](mailto:hkenquiries@cii.co.uk).

💰 HK\$1,500

D 7 weeks to 10 weeks



### 個人財務策劃工作坊系列 - 整合財務安排及保險策劃

課程編號：FINA9335

S

報名代碼：2140-1302NW

☎ 2520 4612

✉ [finsc@hkuspace.hku.hk](mailto:finsc@hkuspace.hku.hk)



本課程包括兩個不同主題的工作坊，每一個工作坊為3小時，兩個工作坊提供總學習時數為6小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$1,800

D 2個星期

🗣 粵語

### 個人財務策劃工作坊系列 - 財務策劃及信托安排

課程編號：FINA9334

S

報名代碼：2135-1689NW

☎ 2520 4612

✉ [finsc@hkuspace.hku.hk](mailto:finsc@hkuspace.hku.hk)



本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$1,800

D 1個星期

### 個人財務策劃工作坊系列 - 財務策劃及遺產管理

課程編號：FINA9333

S

報名代碼：2135-1683NW

☎ 2520 4612

✉ [finsc@hkuspace.hku.hk](mailto:finsc@hkuspace.hku.hk)



本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$1,800

D 1個星期

## 樂齡 課程系列 Smart Age Series



8折優惠  
20%  
discount

60歲或以上學員報讀  
指定課程可享8折優惠  
20% discount is offered  
to smart age learners (aged 60+)



NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

NCR2

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR3

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。