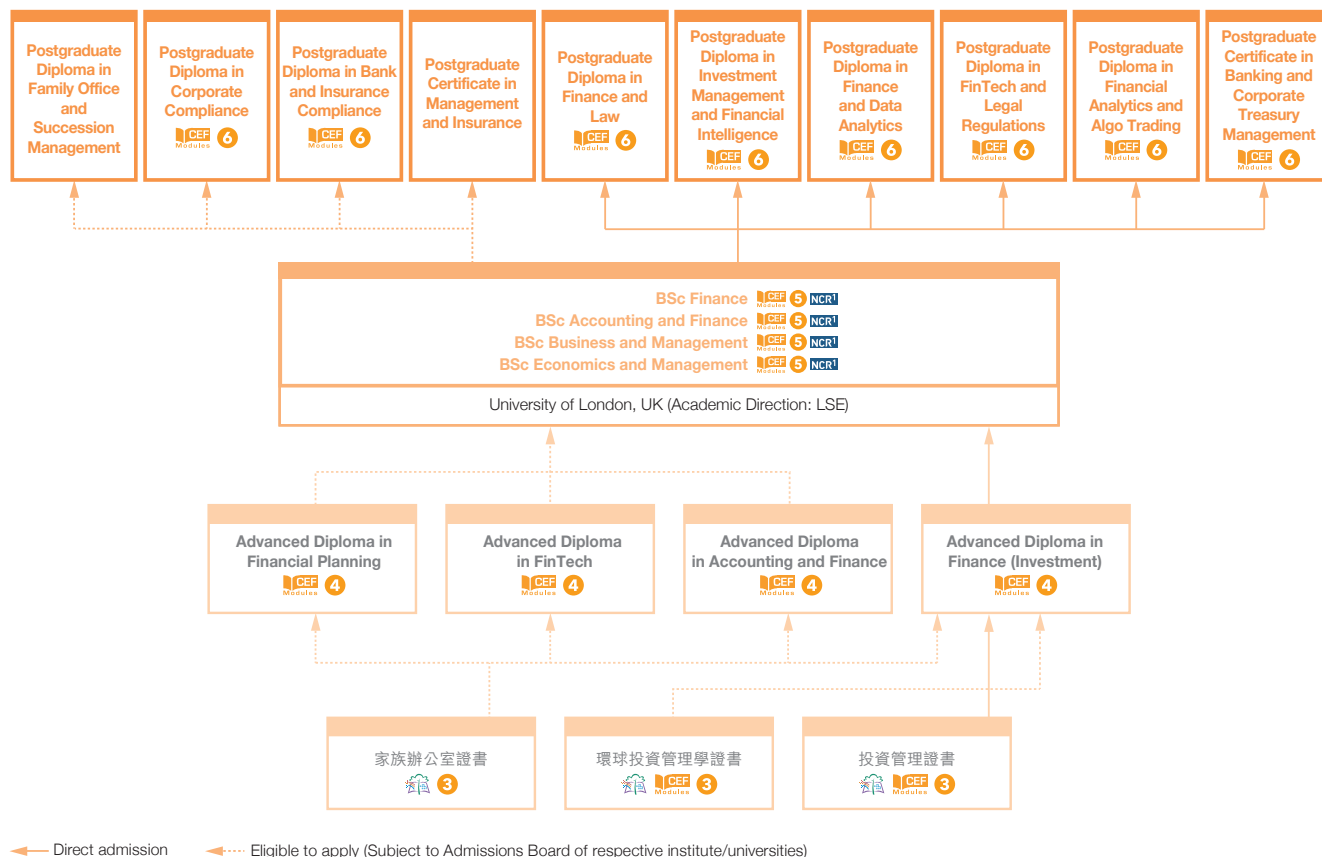


Pathways in Financial Studies



University of London Business Programmes

These BSc degrees are awarded by the University of London and with academic direction from the globally renowned London School of Economics and Political Science (LSE). The degrees enable students of various backgrounds to earn a world-class degree without relocating. They offer students a thorough grounding in the principles of accounting, finance, business, economics and management. It is ideal if you are pursuing careers towards the profession of accountancy, financial, management or if you are already professionally qualified and now would like to take a degree.

For details, please refer to p.133

Programme Title	Programme Code	QF Level	QR Registration No.	QR Registration Validity Period
BSc Accounting and Finance	MS044A	5	13/000446/L5	01 Sep 2013 - 31 Aug 2026
BSc Finance	MS046B	5	23/000462/L5	01 Sep 2023 - 31 Aug 2026
BSc Business and Management	MS041A	5	14/000003/L5	01 Sep 2014 - 31 Aug 2026
BSc Economics and Management	MS025A	5	13/000312/L5	01 Sep 2012 - 31 Aug 2026

Postgraduate Diploma in Bank and Insurance Compliance

Programme Code: AC055A

Application Code: 2350-AC055A

2867 8409

pgdipbi@hkuspace.hku.hk

A unique programme that aims to provide students with a coherent, relevant and practical programme of knowledge in bank and insurance compliance and prepares them for a career in these and related sectors. Real-life cases and examples will be discussed, drawing reference from standards and rules highlighting the non-compliance issues involved and grey areas.

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Notes to applicants:

1. Applicants with relevant prior studies can apply for exemption, please complete the exemption form and furnish course outline.

\$ HK\$8,300 per module
Application Fee: HK\$200

D 1 year to 3 years

E English

Q Level 6 (Reg. No.: 16/000338/L6) Validity Period: 17 May 2016 - on-going

See legend on page 031 圖像說明於第 031 頁

R Minimum Entry Requirements 基本入學要求 (P.017)

\$ Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

Postgraduate Diploma in Corporate Compliance

Programme Code: AC009A

Application Code: 2350-AC009A



2867 8409

pgdco@hkuspace.hku.hk

RELEVANT & PRACTICAL PROGRAMME IN SFC AND LISTING COMPLIANCE!!
This is a unique programme that focuses on practical applications and all-round training in corporate compliance; it is positioned to be the pioneer in the market. Contemporary cases will be discussed; drawing reference from standards and rules highlighting the issues and grey areas. Real-life examples and updated cases will be discussed during the class.

- R** Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

NOTES TO APPLICANTS:

1. Applicants with relevant prior studies can apply for exemption, please complete the exemption form and furnish course outline.

\$ HK\$8,300 per module
Application Fee: HK\$200

D 1 year to 3 years

English

Q Level 6 (Reg. No.: 14/003330/L6) Validity Period: 01 Oct 2014 - on-going

Postgraduate Diploma in Finance and Law

Programme Code: FN017A

Application Code: 2285-FN017A



2867 8312

pgdfl@hkuspace.hku.hk

Postgraduate Diploma in Finance and Law is designed to give you a sophisticated understanding of the legal and regulatory framework for the local and international financial sector, by taking an integrated approach to finance and law. Financial institutions need leaders who have extensive knowledge relating to the legal issues in international finance and banking.

This PGDFL creates a new kind of financial services professional: graduates who are trained in both finance and law. You will develop an advanced interdisciplinary understanding of relevant legal and financial contexts and combines a highly analytic academic core with applications informed by current practice.

- R** Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants who hold the Advanced Diploma for Legal Executives (Graduate Level) would be eligible for entry.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$7,700 per Finance module
HK\$10,900 per Law module
Application Fee: HK\$200

D 12 months to 48 months

English

Q Level 6 (Reg. No.: 08/001818/6) Validity Period: 05 May 2008 - on-going

Advanced Diploma in Finance (Investment)

Programme Code: FN013A

Application Code: 2285-FN013A



2867 8312

adfi@hkuspace.hku.hk

The ongoing turmoil in global financial markets continues to strengthen the need for finance professionals. This programme offers in-depth training in analytical aspects used by financial analysts in different settings including finance department within corporations and financial services institutions. These courses teach the strategies and principles of investment, financial risk management, derivative instruments and alternative investments. Graduates can be admitted directly into the part-time University of London International Degree Programmes and may also be eligible for some course exemptions on the various University of London International Degree Programmes.

- R** Applicant shall:
- (i) have gained in HKCEE Grade E in 4 subjects (including Mathematics) and Level 2 in English Language and (ii) one A-level pass or two AS-level passes in any subject or other equivalent post-secondary qualifications; or
 - have gained in the HKDSE Examination Level 2 in five subjects including English Language; or
 - hold an Advanced Certificate in Financial Studies or a Certificate in Investment award within the HKU system through HKU SPACE; or
 - be aged 21 and above.

\$ HK\$4,800 per module
Application Fee: HK\$150

D 24 months to 36 months

English

Q Level 4 (Reg. No.: 11/000176/4) Validity Period: 13 Jan 2011 - on-going

Advanced Diploma in FinTech

Programme Code: FN049A

Application Code: 2285-FN049A



2867 8312

adft@hkuspace.hku.hk

The programme aims to provide fundamental knowledge on finance applications of blockchain technology, digital currencies, big data and financial analytics. It covers digital banking, payment systems, blockchain, cryptocurrencies, peer to peer lending, crowdfunding, quantitative methods in financial analysis and big data analytics in finance. The programme develops students with programming skills in Python and put them into practice to solve real world finance problems.

- R** Applicants shall have gained in the HKDSE Examination Level 2 in 5 subjects including English Language and Chinese Language or equivalent.

Applicants who do not possess the above academic qualifications but are aged 21 or above with relevant work experience will be considered on individual merit.

\$ Module 1: Introduction to FinTech HK\$6,700
Module 2: Principles of Finance HK\$4,900
Module 3: Programming for FinTech Applications HK\$6,700
Module 4: Machine Learning in Finance HK\$4,900
Module 5: Blockchain Applications and FinTech HK\$4,900
Module 6: Big Data Applications and Financial Analytics HK\$4,900
Application Fee: HK\$200

D 12 months to 20 months

English

Q Level 4 (Reg. No.: 20/000091/L4) Validity Period: 01 Mar 2020 - on-going

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

NCR3

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Finance and Compliance

金融法規

Executive Diploma in Anti-Money Laundering and Counter-Terrorist Financing

Programme Code: EP087A

Application Code: 2285-EP087A

☎ 2867 8312

✉ ecaml@hkuspace.hku.hk

- Through case work, in-class discussions and expert guidance from our experienced speakers, you will learn how to assess the key elements of AML compliance programme.
- First-hand study, experience-sharing and real-world cases by our AML speakers provides practical knowledge and skills in an attempt to prepare you to pursue a career in financial compliance.

R Applicants shall:

- hold a bachelor's degree awarded by a recognized institution or equivalent; or
- hold an Associate Degree/ a Higher Diploma awarded by a recognized institution or equivalent and have 2 years work experience; or
- hold relevant professional qualifications.

Applicants with other qualifications will be considered on individual merits.

- \$** Module 1 (30 hrs) HK\$5,400
Regulatory Principles of Anti-Money Laundering and Counter-Terrorist Financing
Module 2 (30 hrs) HK\$5,400
Anti-Money Laundering Compliance Programme
Module 3 (15 hrs) HK\$2,800
Financial Crime Compliance
Module 4 (15 hrs) HK\$2,800
Anti-Money Laundering in Practice
Application Fee: HK\$150

D 6 months

🇬🇧 English

Executive Certificate in Aircraft Leasing and Financing

Programme Code: EP166A

Application Code: 2280-EP166A

☎ 2867 8322

✉ ecertir@hkuspace.hku.hk

This programme offers the essential concepts and contemporary issue about aircraft leasing and financing. It provides a broad overview of main categories of different aircraft leasing transactions.

R Applicants shall hold:

- a Bachelors Degree awarded by a recognized University or equivalent; or
- an Associate Degree or a Higher Diploma or equivalent, and have at least 2 years of relevant work experience.

Applicants with other qualifications and substantial senior work experience will be considered on individual merit.

- \$** HK\$8,000
Application Fee: HK\$150

D 1 month to 2 months

🇬🇧 English

Executive Certificate in Financial Law and Ethics

Programme Code: EP178A

Application Code: 2180-EP178A

☎ 2867 8322 / 2520 4628

✉ ecfl@hkuspace.hku.hk

The programme takes an integrated approach to financial law and ethics in business and finance. It considers how legal principles and ethical considerations are applied in the context of real case studies. The programme offers an overview of financial law and ethics in financial market transactions in capital markets and asset management. It also analyses the efficacy of the organizational requirements which companies are obliged to have in place to prevent unethical conduct from happening.

R Applicants shall hold:

- a bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree/ a Higher Diploma or equivalent, and have at least 2 years of relevant work experience.

Applicants with other qualifications will be considered on individual merits.

- \$** Module 1: Financial Law and Regulations HK\$3,750
Module 2: Ethics and Professional Practice in Finance HK\$3,750
Application Fee: HK\$150

D 3 months

🇬🇧 English

Executive Certificate in Finance and Compliance

Programme Code: EP025A

Application Code: 2345-EP025A

☎ 2867 8392

✉ ec.fc@hkuspace.hku.hk

The demand for financial compliance professionals grows with new compliance and regulation standards in Hong Kong. This programme aims to equip students with latest knowledge in financial compliance in banking and asset management. Executive Certificate in Finance and Compliance is regularly updated for the current changing regulatory landscape.

R Applicants shall:

- hold a bachelor's degree awarded by a recognized university or equivalent; or
- hold an Associate Degree/ a Higher Diploma or equivalent, and have at least 2 years of work experience; or
- hold relevant professional qualifications.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

- \$** HK\$3,000 per module,
HK\$9,000 per programme
Application Fee: HK\$150

D 4 months

🇬🇧 English, supplemented with Cantonese

Finance and Compliance Workshop Series

Programme Code: SC407A

Application Code: 2345-SC407A

☎ 2867 8392

✉ ec.fc@hkuspace.hku.hk

This workshop aims to equip students with latest knowledge in compliance issues for professionals working in asset management companies and banking institutions. It focuses on satisfying the regulatory and compliance requirements as determined by the legal framework in Hong Kong as well as teaching state-of-the-art practices in managing key risks faced by bank and asset management companies.

- \$** HK\$3,100 per module

D 4 months

🇬🇧 English, supplemented with Cantonese

Executive Certificate in Fund Administration and Regulatory Compliance

Programme Code: EP175A

Application Code: 2340-EP175A

☎ 2867 8312

✉ farc@hkuspace.hku.hk

The programme takes an integrated approach to fund administration and the regulatory landscape in asset management. The programme offers an overview of investment fund structures, strategies, regulation and fund administration from front-end to back office operations. It also covers the current development of fund regulatory compliance in the Greater Bay Area.

R Applicants shall hold:

- a bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree/ a Higher Diploma or equivalent, and have at least 2 years of relevant work experience.

Applicants with other qualifications will be considered on individual merits.

- \$** HK\$8,800 per programme
Application Fee: HK\$150

D 30 hours

🇬🇧 English

See legend on page 031 圖像說明於第031頁

R Minimum Entry Requirements 基本入學要求 (P.017)

\$ Fee 學費

D Duration 修業期

🇬🇧 Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

Certificate for Module (Anti-money Laundering – Cryptocurrency, Data Analytics and Financial Crime Sanctions) Programme Code: AC119A

Application Code: 2385-AC119A

2867 8409

pgdcc@hkuspace.hku.hk

The programme aims to equip students with knowledge of the anti-money laundering regulations, data analytics to safeguard finance crimes or using cryptocurrencies in money laundering.

- R** Applicants shall hold a bachelor's degree awarded by a recognized institution, with 1-2 years of relevant work experience in anti-money laundering.
- If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$8,500 per programme
Application Fee: HK\$150

D 33 hours

English

Q Level 6 (Reg. No.: 23/00047/L6) Validity Period: 01 Jan 2023 - on-going

Certificate for Module (Anti-money Laundering – Practices and Issues) Programme Code: AC120A

Application Code: 2370-AC120A

2867 8409

pgdcc@hkuspace.hku.hk

This programme equips students with knowledge of practices and procedures to combat money laundering in the workplace.

- R** Applicants shall hold a bachelor's degree awarded by a recognized institution, with 1-2 years of relevant work experience in anti-money laundering.
- If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$8,500 per programme
Application Fee: HK\$150

D 33 hours

English

Q Level 6 (Reg. No.: 23/00048/L6) Validity Period: 01 Jan 2023 - on-going

Certificate for Module (Big Data Governance and Data Compliance) Programme Code: FN055A

Application Code: 2275-FN055A

2867 8331 / 2867 8424

findec@hkuspace.hku.hk

For details, please refer to p.070

Certificate for Module (Blockchain Applications and FinTech) Programme Code: FN113A

Application Code: 2285-FN113A

2867 8312

adft@hkuspace.hku.hk

This module aims to offer students with a foundation of blockchain technology in the finance and investment fields. It gives an overview of the blockchain applications and introduces students to the regulatory issues of blockchain.

- R** Applicants shall have gained in the HKDSE Examination Level 2 in 5 subjects including English Language and Chinese Language or equivalent.
- Applicants who do not possess the above academic qualifications but are aged 21 or above with relevant work experience will be considered on individual merit.

\$ HK\$4,900
Application Fee: HK\$150

D 4 months

English

Q Level 4 (Reg. No.: 24/000772/L4) Validity Period: 01 Sep 2024 - on-going

Certificate for Module (Compliance for Fintech and Insurtech) Programme Code: AC106A

Application Code: 2350-AC106A

2867 8409 / 2867 8314

pgdpbi@hkuspace.hku.hk

Fintech and Insurtech are new and hot technology in bank and insurance sectors. Programme Team proposes to introduce a Certificate for module 'Compliance for Fintech and Insurtech' which is an evolving area with market demand and is also a unique and pioneering course introduced by HKU SPACE.

- R** Applicants shall hold a bachelor's degree awarded by a recognized institution.
- If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.
- Applicants with other qualifications will be considered on individual merit.

\$ HK\$8,000 per programme
Application Fee: HK\$150

D 36 hours

English

Q Level 6 (Reg. No.: 21/000476/L6) Validity Period: 01 Jul 2021 - on-going

Certificate for Module (Enhanced Competency Framework on Anti-Money Laundering and Counter-Financing of Terrorism Professional Level) Programme Code: FN045B

Application Code: 2290-FN045B

2867 8392

ecfam1@hkuspace.hku.hk

Hong Kong Monetary Authority (HKMA) works together with the banking industry to introduce an industry-wide competency framework – Enhanced Competency Framework (ECF) on Anti-money Laundering and Counter-financing of Terrorism (AML/CFT) to support talent development in AML/CFT. The syllabus will be provided by the Hong Kong Institute of Bankers (HKIB) and this programme is offered for students to prepare for the ECF on AML/CFT Professional Level Examination.

- R** Applicants shall fulfill the following entry requirement:
- Advanced Certificate for ECF on Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) awarded or grandfathered by The Hong Kong Institute of Bankers (HKIB)

\$ HK\$5,000

D 18 hours

English

Q Level 5 (Reg. No.: 18/000292/L5) Validity Period: 24 May 2018 - on-going

Finance and Compliance

金融法規

Certificate for Module (Environmental, Social and Governance Reporting)

Programme Code: AC121A

Application Code: 2350-AC121A

☎ 2867 8409 / 2867 8314

✉ pgdco@hkuspace.hku.hk

This programme equips students with knowledge of local and international standards in ESG reporting. They would also be able to report using the appropriate guidelines and apply relevant skills in analysing material ESG and sustainability issues in companies.

R Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$8,500 per programme
Application Fee: HK\$150

D 33 hours

🇬🇧 English

Q Level 6 (Reg. No.: 23/000172/L6) Validity Period: 01 Feb 2023 - on-going

Certificate for Module (ESG Compliance and Internal Control)

Programme Code: AC157A

Application Code: 2380-AC157A

☎ 2867 8409

✉ certformod@hkuspace.hku.hk

The programme equips students with knowledge of rules and regulations related to ESG and the Internal Control Framework for Sustainability Reporting and ESG management. An effective Internal Control will reduce risk and be able to enhance ESG performance. Students will also be able to report activities using Environmental and Social key performance indicators; and learn to identify, measure and manage climate-related risks.

R Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$9,000 per programme
Application Fee: HK\$150

D 30 hours

🇬🇧 English

Q Level 6 (Reg. No.: 24/001065/L6) Validity Period: 01 Jan 2025 - on-going

Certificate for Module (Financial Fraud and Deepfake Detection)

Programme Code: FN129A

Application Code: 2290-FN129A

☎ 2867 8392

✉ amy.fung@hkuspace.hku.hk
ringo.chan@hkuspace.hku.hk

This programme aims to equip students with up-to-date deepfake detection techniques to combat financial fraud. Various data sets and analytics will be examined in the context of different types of financial frauds. Students will learn how to leverage data analytics and deepfake detection to proactively mitigate fraudulent activities.

R Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$9,000

D 30 hours

🇬🇧 English

Q Level 5 (Reg. No.: 25/000489/L5) Validity Period: 01 Apr 2025 - on-going

Certificate for Module (Generative AI for Banking and Finance)

Programme Code: FN132A

Application Code: 2335-FN132A

☎ 2867 8392

✉ amy.fung@hkuspace.hku.hk
ringo.chan@hkuspace.hku.hk

This programme aims to equip students with concepts, applications and practicalities of incorporating Generative AI in banking and finance. Various Generative AI applications will be discussed in banking services, financial compliance and risk management. The benefits, challenges, risks and ethical considerations in Generative AI adoption will be examined, through real-world use cases and live demonstration.

R Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$9,000

D 30 hours

🇬🇧 English

Certificate for Module (Global Sports Business and Finance)

Programme Code: FN102A

Application Code: 2335-FN102A

☎ 2975 5767

✉ cmrt@hkuspace.hku.hk

The programme provides students with an in-depth understanding of the principles and trends of global sports business and finance. It covers a range of topics such as revenue models, talent management, social impact, and emerging global trends. Students will explore different organisation formats and tournament events in different regions and scales with their financial impact. Students will also gain insights into variety of real-life cases. By the end of the programme, students will be equipped with the knowledge and skills needed to succeed in the dynamic and rapidly evolving field of global sports business. The programme can help students to plan and organise different sports business in the future.

R Applicants shall hold:

- a relevant bachelor's degree awarded by a recognised university or equivalent; or
- a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$9,000

Application Fee: HK\$150

D 30 hours

🇬🇧 English

Q Level 5 (Reg. No.: 23/000829/L5) Validity Period: 01 Oct 2023 - on-going

See legend on page 031 圖像說明於第 031 頁

R Minimum Entry Requirements 基本入學要求 (P.017)

\$ Fee 學費

D Duration 修業期

🇬🇧 Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

Certificate for Module (Introduction to Financial Time Series Analysis) Programme Code: FN062A

Application Code: 2345-FN062A

☎ 2520 4612

✉ fns@hkuspace.hku.hk



The programme aims to provide students with elementary knowledge of time series analysis for financial data. It introduces basic time series models, models and tests for long-run relationships, volatility models and simultaneous equation models. Computational tools will be used to analyze time series and build financial models. This programme is suitable for students to prepare for postgraduate studies in finance and investment disciplines.

R Applicants should hold an Advanced Diploma, a Higher Diploma or an Associate Degree preferably in the areas of business and statistics (e.g., mathematics, statistics, computer science, IT, engineering, economics or finance) awarded by a recognised institution. Applicants with other equivalent qualifications will be considered on individual merit.

\$ HK\$7,600

D 5 weeks

🇬🇧 English

Q Level 5 (Reg. No.: 21/000427/L5) Validity Period: 01 Jul 2021 - on-going

Certificate for Module (Introduction to FinTech) Programme Code: FN111A

Application Code: 2265-FN111A

☎ 2867 8312

✉ adft@hkuspace.hku.hk



The programme introduces the basic concepts of FinTech, covering the emerging technologies of FinTech and regulatory challenges in FinTech. It examines main FinTech landscape including digital payment, peer to peer lending, cryptocurrencies, robo-advisor and regulatory technologies.

\$ HK\$6,700
Application Fee: HK\$150

D 4 months

Q Level 4 (Reg. No.: 24/000770/L4) Validity Period: 01 Sep 2024 - on-going

Certificate for Module (Islamic Finance) Programme Code: FN110A

Application Code: 2280-FN110A

☎ 2867 8322 / 2867 8303

✉ ringo.chan@hkuspace.hku.hk



The programme aims to introduce students to the principles and practices of Islamic finance. It covers an overview of the Islamic financial system, Islamic law, regulations and the role of ethics in Islamic finance. Features of different Islamic financial products will be examined.

R Applicants should hold an Advanced Diploma, a Higher Diploma or an Associate Degree awarded by a recognised institution. Applicants with other equivalent qualifications will be considered on individual merit.

\$ HK\$6,600

D 3 months

🇬🇧 English

Q Level 5 (Reg. No.: 24/000782/L5) Validity Period: 01 Oct 2024 - on-going

Certificate for Module (Leveraged, Acquisition and Syndicated Financing) Programme Code: FN119A

Application Code: 2285-FN119A

☎ 2867 8392

✉ last@hkuspace.hku.hk



The programme is designed to provide students with the essential tools required to maximise reward whilst mitigating risks in leveraged, acquisition and syndicated financing transactions. It covers key aspects of syndicated loan deals, economics of syndicated loans, syndication strategies, term sheets, leveraged, acquisition and syndicated loan documentation issues, market regulation and conduct. Case studies of leveraged, acquisition and syndicated loans in different sectors will be examined.

R Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above on the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$13,500

D 30 hours

🇬🇧 English

Q Level 6 (Reg. No.: 25/000332/L6) Validity Period: 01 Mar 2025 - on-going

Certificate for Module (Machine Learning in Finance) Programme Code: FN114A

Application Code: 2265-FN114A

☎ 2867 8312

✉ adft@hkuspace.hku.hk



This module provides students with the basic machine learning techniques commonly used in finance. Students will learn how to formulate and analyse finance problems from a machine learning perspective. The end-to-end process of investigating data through different machine learning algorithms in financial decision analysis will be discussed.

\$ HK\$4,900
Application Fee: HK\$150

D 4 months

Q Level 4 (Reg. No.: 24/000773/L4) Validity Period: 01 Sep 2024 - on-going

證書(單元：持牌放債人業務管理) 課程編號：FN100A

報名代碼：2355-FN100A

☎ 2867 8392

✉ lml@hkuspace.hku.hk



本課程旨在教授學員有關持牌放債人管理人員執行企業策略、財務管理、風險管理以及內部控制。課程範疇包括持牌放債人管理人員的金融合規要求、防止金融及網絡犯罪、管理層於反洗錢的角色和責任、金融科技的應用及放債人業務的成功元素。

R 申請人必須：

1. 於香港中學文憑考試考獲5科第2級或以上的成績(包括中國語文科)；或
2. 於香港中學文憑考試考獲5科E級或以上的成績(包括中國語文科)。

申請人如持有其他同等學歷、年滿21歲或以上及持有相關的工作經驗，學院將按個別情況考慮。

\$ HK\$7,500
報名費用：HK\$150

D 3個月

🇬🇧 粵語，輔以英語

Q 資歷架構級別：3 資歷名冊登記號碼：23/000767/L3
資歷名冊登記有效期：2023年8月1日 - 持續有效

證書(單元：持牌放債人業務營運) 課程編號：FN101A

報名代碼：2285-FN101A

☎ 2867 8392

✉ lml@hkuspace.hku.hk



本課程旨在教授學員有關持牌放債人相關的法例、法規、執業指引和持牌放債人營運要點。課程範疇包括持牌放債人的金融合規要求、放債流程的營運細節、持牌放債人的風險管理及反洗錢的監管要求。

R 申請人必須：

1. 於香港中學文憑考試考獲5科第2級或以上的成績(包括中國語文科)；或
2. 於香港中學文憑考試考獲5科E級或以上的成績(包括中國語文科)。

申請人如持有其他同等學歷、年滿21歲或以上及持有相關的工作經驗，學院將按個別情況考慮。

\$ HK\$7,500
報名費用：HK\$150

D 3個月

🇬🇧 粵語，輔以英語

Q 資歷架構級別：3 資歷名冊登記號碼：23/000766/L3
資歷名冊登記有效期：2023年8月1日 - 持續有效

Finance and Compliance

金融法規

Certificate for Module (Private Markets and Alternative Investments)

Programme Code: FN125A

Application Code: 2345-FN125A

☎ 2867 8392

✉ amy.fung@hkuspace.hku.hk
ringo.chan@hkuspace.hku.hk

The programme provides students with an overview of different forms of private markets and alternative investments including private credit, private equity, hedge funds, commodities and natural resources. It covers the benefits and risks associated with alternative investments in portfolio management. Case studies of different investment strategies of private markets and alternative investments in global financial markets will be examined.

- R** Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above on the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$15,000

D 30 hours

E English

Q Level 6 (Reg. No.: 25/000494/L6) Validity Period: 01 Apr 2025 - on-going

Certificate for Module (Programming for FinTech Applications)

Programme Code: FN112A

Application Code: 2285-FN112A

☎ 2867 8312

✉ adft@hkuspace.hku.hk

The programme will introduce the basics of the Python programming environment, including fundamental Python and R programming techniques and the use for various libraries. It will cover data manipulation and cleaning techniques using the popular Python data science library.

- R** Applicants shall have gained in the HKDSE Examination Level 2 in 5 subjects including English Language and Chinese Language or equivalent. Applicants who do not possess the above academic qualifications but are aged 21 or above with relevant work experience will be considered on individual merit.

\$ HK\$6,700

Application Fee: HK\$150

D 4 months

E English

Q Level 4 (Reg. No.: 24/000771/L4) Validity Period: 01 Sep 2024 - on-going

Certificate for Module (Quantitative Methods in Finance)

Programme Code: FN063A

Application Code: 2340-FN063A

☎ 2520 4612

✉ finsc@hkuspace.hku.hk

The programme aims to provide students with fundamental knowledge of statistical and quantitative methods in analyzing financial data. It covers time value of money, descriptive statistics, probability and probability distributions, inferential statistics and regression. Computational tools will be used to assist solving the finance and statistics problems. This programme is suitable for students preparing for postgraduate studies in finance and investment disciplines.

- R** Applicants should hold an Advanced Diploma, a Higher Diploma or an Associate Degree awarded by a recognized institution. Those with a mathematics or science background would be at an advantage.

Applicants with other equivalent qualifications will be considered on individual merit.

\$ HK\$6,800

D 1 month to 2 months

E English

Q Level 5 (Reg. No.: 21/000428/L5) Validity Period: 01 Jul 2021 - on-going

Certificate for Module (RegTech)

Programme Code: FN088A

Application Code: 2380-FN088A

☎ 2975 5767

✉ cmrt@hkuspace.hku.hk

The programme aims to equip students with the latest knowledge in innovation and applications of regulatory technology (RegTech). Students will learn how RegTech transforms the financial industry and presents business challenges to the industry. The programme will cover contemporary financial issues, regulatory framework and the impacts of RegTech.

- R** Applicants shall:
- hold a relevant bachelor's degree awarded by a recognized university or equivalent; or
 - hold a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$9,800

Application Fee: HK\$150

D 30 hours

E English

Q Level 5 (Reg. No.: 22/000864/L5) Validity Period: 01 Nov 2022 - on-going

Certificate for Module (Successful Strategies for Finance Business Partnering)

Programme Code: FN117A

Application Code: 2375-FN117A

☎ 2975 5767

✉ cmfbp@hkuspace.hku.hk

The programme aims to provide students with new skill sets as Finance Business Partners (FBP). It will cover a range of topics including digital transformation & change management (DTCM), blueprints for business turnaround and strategic frameworks for joint ventures (JV). Strategies for improving emotional intelligence and career coaching such as development of an antifragile mindset and discussion of real-life cases will be included. After completing the programme, students from the Finance and Accounting (F&A) professions will be equipped to provide professional advice and collaborate effectively with their business partners and colleagues.

- R** Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants with other qualifications will be considered on individual merit

\$ HK\$12,000

Application Fee: HK\$150

D 30 hours

E English

Q Level 6 (Reg. No.: 24/001059/L6) Validity Period: 01 Jan 2025 - on-going

證書 (單元：財富管理誠信顧問)

課程編號：FN060A

報名代碼：2345-FN060A

☎ 2975 5767

✉ tawm@hkuspace.hku.hk

本課程旨在加深人壽保險業界從業員對保險業界不同領域的認識，以提高其個人服務水平及誠信標準。完成課程後，學員能夠因應不同客戶的需要，制訂合適的保險及理財工具組合，為客戶提供詳盡有效和切實可行的財務方案。

- R** 申請人必須為：
- 副特許財務策劃師 (AChFP) 及具備 5 年或以上相關保險金融工作經驗；或
 - 特許壽險策劃師 (ChLP) 及具備 5 年或以上相關保險金融工作經驗；或
 - 特許財務策劃師 (FChFP) 及具備 3 年或以上相關保險金融工作經驗。
- 申請人如持有其他同等學歷及具有 5 年相關工作經驗者將會被個別考慮。

\$ HK\$9,800

報名費用：HK\$150

D 1 個月

E 粵語，輔以英語

Q 資歷架構級別：3 資歷名冊登記號碼：21/000389/L3
資歷名冊登記有效期：2021 年 6 月 1 日 - 持續有效

See legend on page 031 圖像說明於第 031 頁

R Minimum Entry Requirements 基本入學要求 (P.017)

\$ Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

Actuarial Society of Hong Kong (ASHK) Fellows Programme

Programme Code: SC525A



Application Code: 2345-SC525A



2867 8392

ashk@hkuspace.hku.hk

This programme is a route for grandfathered ASHK Fellows (FASHK) ONLY to demonstrate their knowledge and practical appreciation of Hong Kong's actuarial regulatory environment, business practices, professional conduct code and actuarial guidance notes relevant to different work areas (life insurance or general insurance). The learning outcomes of this CPD course is the same as the ASHK Certificate. The ASHK Certificate was introduced as a requirement for new ASHK Fellows. At the time, all existing FASHK were eligible for grandfathering and hence not required to obtain the certificate. As part of the transition, this programme is now available for grandfathered FASHK ONLY. The Insurance Authority will introduce new requirements for actuaries. All applicants for Long-Term Appointed Actuaries and General Insurance Certifying Actuaries will need to be a FASHK and demonstrate their local market knowledge, this is expected to become effective on 1 July 2024.

R Applicants should hold ASHK fellows membership or other qualifications will be considered on individual merits.

\$ HK\$2,200 per programme

D 7 hours

English

金錢服務經營者打擊洗錢及恐怖分子資金籌集合規持續專業發展課程

課程編號：FINA9355

報名代碼：2340-1304NW



2867 8322

lucas.ng@hkuspace.hku.hk

洗錢及恐怖分子資金籌集是主要的金融犯罪之一，它會破壞金融機構的完整性和穩定性，並損害國際金融中心的聲譽。財務行動特別組織(The Financial Action Task Force)作為打擊洗錢問題的國際標準制度，對防禦這種濫用金融系統的犯罪形式是當中重要的一部分。隨著越來越多複雜的方法以達致非法目的，在這個迅速變化的金融監管制度下，要如何實施一個全面打擊洗錢的合規持續專業發展對金錢服務經營者來說主要的挑戰。

\$ HK\$900

D 3小時

粵語

Bridging Course for the Enhanced Competency Framework on Anti-Money Laundering and Counter-Financing of Terrorism Professional Level

Programme Code: SC490A

Application Code: 2340-SC490A



2867 8392

ecfam1@hkuspace.hku.hk

The programme aims to serve as a bridging course for relevant banking practitioners who would be exempted from the ECF on AML/ CFT of the HKMA with their professional qualifications at relevant professional bodies.

Learners would be equipped with the analytical skills in Hong Kong regulatory framework, application of the risk-based approach, AML/CFT risks in specialist areas and financial inclusion in the banking context.

R Applicants shall hold the Certified Anti-Money Laundering Specialist certification or the International Diploma in AML awarded by the Association of Certified Anti-money Laundering Specialists and the International Compliance Association respectively.

\$ HK\$1,800

HK\$600 (Study Guides Fee (optional) - A set of digital version of Study Guide (Core & Professional Levels) is available for purchase by email at cs@hkib.org)

D 6 hours

English

Corporate Accounting to Fund Accounting Conversion Workshop

Programme Code: FINA9374

Application Code: 2290-1577NW



2867 8312

farc@hkuspace.hku.hk

The workshop examines key concepts about fund formation, key participants in fund activities, fund accounting and the financial reporting process. The workshop will guide students how fees, expenses, and NAV are calculated to explain key journal entries and how they impact the financial statements. The workshop is suitable for finance professionals to navigate the differences between corporate accounting and fund accounting.

R Applicants should be at least 18 years of age.

\$ HK\$3,200

D 6 hours

English

Examination Preparatory Programme for ACAMS Certified Anti-Money Laundering Specialist (CAMS)

Programme Code: FINA9357

Application Code: 2345-1808NW



2975 5767

acams@hkuspace.hku.hk

In recent years, Financial Crime has hit the headlines, and the spotlight is firmly on compliance. Money launderers and terrorists engage in money laundering activities through the use of various techniques to disguise funds obtained from illegal activities including drug trafficking, corruption, tax evasion and fraud.

\$ HK\$3,900

D 12 hours

English

持牌保險中介人打擊洗錢及恐怖分子資金籌集合規持續專業發展課程

課程編號：FINA9356

報名代碼：2050-3004NW



2867 8487 / 2867 8314

shortcourse@hkuspace.hku.hk

本課程會將介紹打擊清洗黑錢及反恐怖份子融資活動的相關法例及保險業規例的基本原則；重點是香港的洗錢及恐怖分子融資風險評估報告，並設有實際經驗分享、案例研究，及如何應用相關的案例和法律法規。

\$ HK\$450

D 3小時